

**New version of the regulations on habilitations
(habilitation regulations)
of the Carl von Ossietzky University of Oldenburg**

from 06/06/2024

Legal notice: This translation is merely an additional service provided by the Presidential Board and is therefore not legally binding. In the event of discrepancies, ambiguities etc. regarding the German-language version only the latter is authoritative.

The Senate of the Carl von Ossietzky University of Oldenburg adopted the following new version of the habilitation regulations on 29 May 2024 in accordance with Section 41 (1) sentence 1 NHG. They were approved by the Presidential Board on 4 June 2024 in accordance with Section 37 (1) sentence 3 no. 5 b) NHG.

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I. Division: General information

Section 1 Purpose of the habilitation

¹The habilitation serves as proof of outstanding aptitude for independent academic research and qualified independent teaching in a specific academic subject or subject area (teaching qualification).

²The habilitation authorizes the habilitated person to teach independently at the university in a specific academic subject or subject area (authorization to teach).

Section 2 Responsibilities, confirmation of habilitation efforts in the preliminary phase

- (1) ¹The Faculty Council responsible for the subject area shall form a habilitation committee for each habilitation procedure (Section 6) and, if necessary, a withdrawal committee (Sections 21, 22). ²The election of the commissions may be carried out by circulation procedure in compliance with the provisions of the General Rules of Procedure. ³By the way of derogation from sentence 1 and sections 6 and 7, a faculty may also a permanent habilitation committee with a term of office of two years; further details are to be regulated in the faculty-specific **Annex 1** in accordance with section 25. ⁴The habilitation committee decides on admission and is responsible for the procedure and the decision on the habilitation (section 12). ⁵The withdrawal committee is responsible for the cases mentioned in sections 21, 22.
- (2) ¹If the intended habilitation relates to subject areas of several faculties, the faculty to which the habilitation is applied for must take appropriate account of the subject representatives from the faculties whose subject areas the habilitation is also to relate to when appointing the experts in accordance with Section 8 and appointing the habilitation committees in accordance with Section 7. ²The faculty councils of all faculties to be involved in accordance with sentence 1 must agree to the appointment of the experts and the appointment of the habilitation committee.
- (3) In cases of doubt and dispute, the Senate shall decide on the responsibilities in accordance with paragraph 1 and on the participation of the faculties in the habilitation committee in accordance with paragraph 2.
- (4) ¹Any person seeking a habilitation in a faculty may apply to the Dean of the faculty for written confirmation that they currently in the process of preparing a habilitation thesis at the Carl von Ossietzky University of Oldenburg before being admitted to the habilitation. ²This confirmation by the faculty requires a corresponding confirmation by a university lecturer responsible for the subject area and must be limited in time.

Section 3 Requirements for admission to the habilitation procedure

- (1) Admission to the habilitation procedure is a prerequisite:
 1. the submission of the written habilitation thesis;
 2. a doctorate from a German academic or artistic-scientific university or an equivalent foreign university or proof of an equivalent qualification;
 3. the successful completion of subject-related courses at a scientific or artistic-scientific university or a comparable institution of at least two semesters duration the scope of 2 LVS, which should not be longer than 3 years ago and half of which must have been completed at the University of Oldenburg. As far as possible, the University of Oldenburg will give applicants who do not fulfil these requirements the opportunity to teach.

- (2) ¹Admission may be refused if the applicant does not the formal requirements for habilitation to be verified with the application in accordance with section 4, or if the teaching authorization to be granted would immediately expire, be withdrawn or revoked. ²In particular, it shall be refused if:
1. the subject area in which the habilitation is sought is not (co-)represented at the university either by a scheduled professorship or by a professorship in a related subject area;
 2. the applicant has successfully completed another habilitation procedure in the same subject area; this does not affect the possibility of re-habilitation in accordance with section 17;
 3. the submitted written habilitation thesis has already been the subject of an unsuccessfully completed habilitation procedure, either alone or in large part.

II. Division Habilitation procedure

Section 4 Application for admission to the habilitation procedure

- (1) ¹The application for admission to the habilitation and thus the opening of the habilitation procedure must be submitted in writing to the Dean of the relevant faculty, stating the subject area for which the habilitation and authorization to teach (*venia legendi*) sought. ²The dean shall inform the members of the group of professors, the other habilitated members of the faculty and the members of the faculty council of the application.
- (2) The application must be accompanied by
1. a curriculum vitae outlining the applicant's academic and professional career;
 2. a certified copy of the doctoral certificate or proof of an equivalent qualification;
 3. a list of scientific papers;
 4. a list of courses held in the last five years;
 5. the written habilitation thesis (section 5 (1)) in a written copy and a digital version. At the request of a reviewer, further written copies may be requested;
 6. an affidavit by the applicant that the written habilitation thesis been completed independently and without unauthorized external assistance, as well as a declaration that the "Regulations on the Principles of Safeguarding Good Scientific Practice at Carl von Ossietzky University" have been observed;
 7. a declaration by the applicant on previous applications for admission to habilitation and, if applicable, the outcome of the procedure.
 8. an extended certificate of good conduct in accordance with section 30a (1) no. 2 a BZRG.
- (3) ¹Applicants admitted to habilitation (habilitation candidates) may withdraw their application for admission to habilitation as long as they have not been informed of the expert reports in accordance with Section 8 (2). ²The habilitation candidate may, until the decision on the habilitation has been made in accordance with Section 12 at the suggestion of the habilitation committee or at their own request in agreement with the habilitation committee, change the subject area for which the habilitation is sought.

- (4) The application and attachments remain in the faculty's files after the procedure has been completed and must be kept for fifty years.
- (5) ¹If it is credibly demonstrated that the oral habilitation examination cannot be taken in the intended manner, form or time due to a disability or chronic illness, the habilitation committee must, upon request, grant appropriate measures to compensate for disadvantages in order to ensure equal opportunities. ²The habilitation committee may, at the applicant's request, consult the representative body for severely disabled persons in an advisory capacity. ³The submission of suitable evidence may be required to substantiate the disability or chronic illness. ⁴Decisions must be made in accordance with section 1 NVwVfG in conjunction with VwVfG and the reasons for the decision and provide information on legal remedies.

Section 5

Habilitation services

- (1) Habilitation achievements are a written habilitation thesis written by the habilitation candidate (Habilitationsschrift) and an academic presentation (Habitationsvortrag) followed by a colloquium.
- (2) ¹The habilitation thesis must demonstrate the habilitation candidate's outstanding ability to conduct independent academic research in the chosen subject area. ²The dissertation should not form part of the habilitation thesis. ³The habilitation thesis must be written in compliance with the "Regulations on the Principles for Safeguarding Good Scientific Practice at Carl von Ossietzky University". ⁴The habilitation thesis must be written in German or English; writing in another language requires the approval of the responsible faculty council or the habilitation committee. ⁵In any case, the habilitation thesis must contain a summary in German.
- (3) ¹Instead of an independent habilitation thesis, several academic papers may be submitted, which may also include previously published papers, if they constitute equivalent proof of the ability to conduct independent academic research and have not yet been used in a habilitation procedure (cumulative habilitation thesis). ²Paragraph 2 sentence 2 applies accordingly. ³A cumulative habilitation thesis should contain a detailed summary of the submitted work, in which in particular the independent part of joint work is also presented.
- (4) ¹The oral habilitation examination consists of a 45-minute academic presentation in the subject area for which the habilitation is sought, which is open to the public at the university, and a subsequent colloquium open to the public at the university to discuss the presentation. ²The colloquium should not last longer than 60 minutes.

Section 6

Habilitation Commission

- (1) ¹The habilitation committee consists of five professors¹ or habilitated professors (private lecturers, associate professors). ²The members of the habilitation committee must be at least:
 - a) three professors within the meaning of section 24 NHG, who may already be retired or dismissed, subject to a corresponding basic regulation,
 - b) three members or, subject to a corresponding basic regulation, relatives² of the University of Oldenburg,

¹ Professors within the meaning of these regulations are not junior professors (due to § 15 para. 4 HRG), honorary professors (due to § 15 para. 4 HRG) and adjunct professors (due to section 16 para. 2 sentence 5 NHG).

² For the definition, see section 16 para. 4 NHG, section 3 Basic Regulations.

- c) three must represent the subject area of the intended habilitation or related subject areas.
- (2) ¹The habilitation committee decides by a majority of the members present, unless the habilitation regulations stipulate otherwise. ²Abstentions are not permitted in decisions on habilitation achievements. ³ Absence from votes on habilitation achievements is only permitted for good cause. ⁴The Habilitation Commission makes the decisions required by these habilitation regulations, unless they are assigned to the Dean or the Faculty Council by these regulations. ⁵The habilitation committee meets in private. ⁶In exceptional cases and in compliance with the provisions of the general rules of procedure, meetings may be held purely electronically by means of a video and audio transmission procedure authorized by the Presidential Board or individual participants may be connected in this way ("electronically connected members"), provided the chairperson agrees. ⁷The members are obliged to maintain confidentiality.
- (3) ¹The habilitation committee elects a chairperson from among its members to prepare, convene and chair the meetings. ²The chairperson must that the procedure proceeds without avoidable delays. ³The commission shall be constituted by the dean. ⁴He*she may delegate the constitution to a member of the deanery or the commission.

Section 7

Admission and opening of the habilitation procedure

- (1) ¹The Dean examines the documents submitted by the applicant in accordance with section 4 for completeness and immediately requests the Faculty Council to appoint a habilitation committee in accordance with section 6. ²In doing so, he/she must examine possible grounds for bias at his/her own discretion, taking into account the special features of a habilitation procedure; joint publications and projects are not taken into account. ³The members of the habilitation committee are elected separately according to status groups.
- (2) Immediately after their election, the members of the habilitation committee must inspect all documents in accordance with section 4 para. 2.
- (3) ¹The Habilitation Commission decides on the admission of the applicant on the basis of the Dean's examination report in accordance with section 7 (1), if possible at its constituent meeting. ²A negative decision on admission to the habilitation program must be communicated to the habilitation candidate without delay by means of a reasoned decision by the Dean, which must be accompanied by information on legal remedies.
- (4) The habilitation procedure should not exceed a total duration of one year.

Section 8

Assessment of the written habilitation thesis

- (1) ¹After the habilitation committee has admitted the applicant, it immediately appoints two to three reviewers who receive the written habilitation thesis, curriculum vitae and transcript of records. ²They may be given a deadline for submitting the review. ³The habilitation candidate may suggest a reviewer; this suggestion should be accepted unless there are important reasons to the contrary. ⁴The habilitation committee is not bound by the proposal. ⁵At least one external reviewer must be appointed. ⁶The recommendations of the Presidential Board on dealing with bias in appointment procedures must be taken into account, unless the special features of the habilitation procedure require a different assessment.
- (2) Only a person who holds the *venia legendi* for a subject that is dealt with or at least substantially affected by the habilitation thesis, or who has proven the required knowledge in another way, may be commissioned with the assessment.
- (3) ¹The reviewers should submit a written review within three months of their appointment and receipt of the written habilitation thesis in which they confirm the acceptance of the thesis

or propose the rejection of the written habilitation thesis. ²The habilitation committee may appoint new reviewers if a review is not submitted on time and not expected to be submitted within a reasonable period of time. new reviewers are subject to the sentences 1 and 2 as well as paragraphes 1 and 2.

- (4) ¹On receipt of all reviews, the habilitation committee should inform the habilitation candidate the criticism expressed in the reviews that is important for the progress of the procedure. ²The naming of reviewers is omitted if the Faculty Council generally decides so or if a reviewer so wishes. ³This does not affect the right to inspect files in accordance with Section 13 (3). ⁴The habilitation candidate may comment in writing on the criticism submitted to the habilitation committee within one month.

Section 9

Display and decision on the written habilitation thesis, extended habilitation committee

- (1) ¹The written habilitation thesis, the reviews received and, if applicable, the habilitation candidate's statement will be made available for inspection by the Dean of the relevant faculty for one month to the professors and habilitated members of the faculties concerned. ²They will be informed by the dean in text form (in writing, by e-mail, etc.) about the display and are entitled to submit written comments within the display period. ³The display period should be at least partially during the lecture period.
- (2) With the notification according to paragraph 1 sentence 2, the professors of the faculty are informed that they are entitled to participate in the further decisions of the habilitation committee with voting rights if they inform the chairperson of the habilitation committee in writing within the display period that they wish to exercise their voting rights and submit a written expert opinion on the written habilitation thesis no later than one month after the end of the display period; Section 8 paragraph 2 applies accordingly.
- (3) ¹Professors who exercise their voting rights in accordance with paragraph 2 have the same rights and obligations as the members of the habilitation committee. ²Together with the habilitation committee, they form the extended habilitation committee with the previous chair. ³The vote of the chairperson shall be decisive in the event of a tie.
- (4) ¹On receipt of all statements in accordance with paragraph 2 and section 8 (2), the extended habilitation committee decides by majority vote of its members whether the written habilitation thesis fulfils the requirements and is accepted. ²Prior to this decision, the committee may decide by a majority of its members to obtain one or more further expert opinions. ³In the event of acceptance, the habilitation committee shall also discuss the designation of the teaching authorisation (*venia legendi*) in accordance with section 14. ⁴If a deviation from the applicant's application is planned, the applicant shall be given the opportunity to comment.

Section 10

Failure of the habilitation procedure

- (1) If the extended habilitation committee has decided in accordance with Section 9 (4) that the written habilitation thesis is rejected, the habilitation procedure is concluded without success.
- (2) If the habilitation candidate withdraws from the application for habilitation after taking note of the expert reports in accordance with section 8 (2) or section 9 (2), the procedure will not be continued and is deemed to have failed.
- (3) ¹The chairperson of the habilitation committee shall immediately report on a failed procedure to the dean, enclosing all expert opinions and statements. ²The Dean shall report to the Faculty Council on the failed procedure. ³The candidate must be informed in writing of the reasons for the rejection of the habilitation. ⁴This decision must be accompanied by information on legal remedies.

Section 11

Lecture and colloquium open to the public

- (1) ¹Once the extended habilitation committee has decided to proceed with the procedure, it will the habilitation candidate to submit three topics (title and summary) for the public university lecture to the committee for selection, which overall show that he/she has sufficiently broad knowledge in the subject area for which the habilitation is sought. ²The presentation should be academic in nature and demonstrate the habilitation candidate's teaching skills. ³The extended habilitation committee shall request a new proposal from the habilitation candidate if the previous proposals do not fulfil the requirements of sentences 1 and 2. ⁴The topic of the lecture is determined by the extended habilitation committee if two further proposals from the habilitation candidate do not fulfil the requirements of sentences 1 and 2 are sufficient.
- (2) After the decision on the topic has been made, the chair of the extended habilitation committee agrees with the habilitation candidate on the date for the public lecture, which should preferably not take place during the lecture-free period.
- (3) ¹The public lecture and colloquium in accordance with Section 5 (4) are held at a meeting of the extended habilitation committee. ²The chairperson of the extended habilitation committee and the dean shall issue a public invitation to the lecture and colloquium two weeks in advance. ³With the consent of the habilitation candidate, the extended habilitation committee may unanimously decide in justified exceptional cases to hold the lecture and colloquium in public at the university electronically using a video and audio procedure approved by the Presidential Board. ⁴The members are bound to secrecy.

Section 12

Decision on habilitation and repetition

- (1) ¹The extended habilitation committee decides on the habilitation and the determination of the teaching authorization with the participation and majority of its members, on the basis of the consultation on the lecture and colloquium and taking into account the expert opinions and statements in accordance with section 8 (2), section 9 paragraphs 1 and 2. ²Those reviewers who are not entitled to vote in the decision on the habilitation in accordance with sentence 1 and who have participated in the lecture and colloquium in accordance with section 11 may participate as experts in the extended habilitation committee's deliberations on the habilitation. ³The consultation on the presentation and colloquium as well as the decision on the habilitation shall place in a closed session immediately following the colloquium. ⁴The chairperson of the extended habilitation committee shall prepare minutes of the decision and the main reasons for it.
- (2) ¹If the majority of the extended habilitation committee is of the opinion, based on the habilitation candidate's performance in the public university lecture and the subsequent colloquium, that the habilitation candidate has not demonstrated the qualification in accordance with Section 1 (1), the habilitation candidate may repeat the lecture and colloquium once with a new topic. ²Paragraph 1 and section 11 shall apply accordingly.
- (3) The habilitation candidate must be informed immediately of a negative decision on the habilitation by means of a reasoned notification from the Dean, which must include information on the right of appeal.

Section 13

Completion of the habilitation procedure

- (1) ¹The chairperson of the habilitation committee reports to the dean and the president on the completed habilitation procedure. ²The Dean reports to the Faculty Council on the conclusion of the procedure.
- (2) ¹A certificate signed by the President and the Dean will be issued immediately upon successful completion of the habilitation procedure. ²The certificate

specifies the habilitation achievements and the subject area to which the habilitation relates.

- (3) After completion of the procedure, the applicant or habilitation candidate may inspect the files relating to the procedure in accordance with Section 8 (4) sentence 2.

Section 14

Legal status of the postdoctoral lecturer (authorization to teach)

- (1) ¹With the award of the habilitation certificate, the habilitation is completed and the authorization to teach independently at the Carl von Ossietzky University of Oldenburg in the subject or subject area of the habilitation is granted (authorization to teach). ²The granting of the authorization to teach does not imply that the habilitation candidate is entrusted with the independent representation of their subject in research and teaching. ³The authorization to teach does not affect rights and obligations arising any existing employment relationship with the Carl von Ossietzky University of Oldenburg.
- (2) The habilitation candidate is entitled to give a public inaugural lecture within one year of completing the habilitation procedure, to which the Dean will invite him/her.
- (3) The habilitated person is authorized to add the suffix "habil." the doctoral title. The university will issue a certificate in accordance with **Annex 2**.

Section 15

Publication of the written habilitation thesis

- (1) ¹The written habilitation thesis in accordance with section 5 should published in full or in substantial excerpts by the habilitation candidate after completion of the procedure, unless it has already been published. ²This may take the form of a generally accessible electronic publication or a book publication. ³The publication of the written habilitation thesis in its essential content in one (or more) academic journal is also considered a publication. ⁴A deadline of two years should be granted for the book publication of the habilitation thesis. ⁵The submission of a publishing contract should suffice to meet the deadline. ⁶If the habilitation candidate has not published the habilitation thesis within two years, he/she shall report on the reasons for the impediment and the expected date of publication at the request of the Dean.
- (2) The habilitation candidate must provide a copy of the written habilitation thesis or of the publication in accordance with paragraph 1 to the library and information system of the Carl von Ossietzky University of Oldenburg free of charge within one year of completion of the procedure, with reference to the completed habilitation procedure.

Section 16

Invalidity of the habilitation examination

- (1) ¹If a candidate has cheated in the examination and this fact only becomes known after the habilitation certificate has been issued, the habilitation committee may subsequently correct the assessments concerned accordingly and declare the habilitation examination failed. ²If it is no longer possible to convene the habilitation committee, the responsible faculty council shall appoint a cancellation committee for this purpose in accordance with the provisions applicable to the habilitation committee.
- (2) ¹If the requirements for admission were not met without the intention to deceive and the fact only becomes known after the habilitation certificate has been issued, this deficiency shall be cured by passing the examination. ²If the admission was intentionally obtained unlawfully, the habilitation committee shall decide on the withdrawal of unlawful administrative acts in compliance with the statutory provisions. Paragraph 1 sentence 2 applies accordingly.
- (3) The habilitation candidate must be heard before a decision is made.
- (4) The incorrect habilitation certificate must be withdrawn.

Section 17 Rehabilitation

¹Anyone who has habilitated at another academic university may apply to the relevant faculty of the Carl von Ossietzky University of Oldenburg for rehabilitation. ²The provisions of these habilitation regulations apply accordingly to the procedure. ³The habilitation committee may, by resolution passed by a majority of its members, waive the habilitation work for the applicant in whole or in part or waive its own assessment of the habilitation work previously completed elsewhere in accordance with Section 8 of these regulations. ⁴By rehabilitating, the applicant acquires the legal status of a habilitated person in accordance with these habilitation regulations. ⁵The university will issue a certificate in accordance with **Annex 3**.

III. Division: Rights and obligations of the habilitation candidate and special provisions on authorisation to teach

Section 18 Teaching authorisation, title and title theory

- (1) ¹The granting of the authorization to teach (section 14) entitles the habilitated person to use the title "Privatdozent" as long as he/she regularly offers independent courses at the Carl von Ossietzky University of Oldenburg amounting to at least 1 LVS per semester or 2 LVS per academic year (title teaching). ²Independent teaching on the basis of teaching assignments is counted towards this. ³The university will issue a certificate for the award of the title in accordance with **Annex 4**.
- (2) ¹The postdoctoral lecturer must announce the courses to the faculty in good time and hold them properly. ²The faculty must document the organization of the courses. ³If the Privatdozent wishes to interrupt their teaching activities for a semester, they must the faculty of this in good time before the start of the respective lecture period. ⁴A longer interruption is only permitted with the authorization of the Faculty Council.
- (3) If the Privatdozent wishes to cease teaching completely, the certificate must be returned; this does not apply if the Privatdozent has reached the age limit for retirement applicable to professors at the time of cessation of teaching.
- (4) The authorization to teach also expires if they have been appointed as a professor at another academic university or if they have been rehabilitated there from another academic university.
- (5) The habilitation does not confer any entitlement to a job, remuneration, employment or appointment.

Section 19 Extension of teaching authorisation

- (1) ¹At the request of the Privatdozent, the authorization to teach may be extended to other subject areas or subjects that fall within the remit of the faculty concerned. ²The extension of the authorization to teach requires special academic achievements in these subject areas or subjects, which must generally be demonstrated by corresponding publications.
- (2) ¹A habilitation committee is to decide on the application. ²Sections 8 (1) to (4) and 9 (1) to (4) apply accordingly to the procedure.
- (3) The extension of the teaching authorization is confirmed in a certificate in accordance with **Annex 5**.

Section 20 Suspension of teaching authorization

¹The authorization to teach shall be suspended if the holder is unable to exercise their authorization to teach due to a proven illness, the duration of which is not foreseeable. ²The suspension of the authorization to teach shall be determined by the President at the request of the holder and the responsible faculty.

Section 21

Withdrawal of teaching authorization

- (1) The conferral of authorization to teach may be revoked if it subsequently transpires that essential requirements for the conferral were wrongly assumed to have been met, in particular if the habilitation on which it was based is subsequently declared not to have been passed, the admission requirements subsequently lapse, or the conferral was obtained by deception, threats or bribery. Section 48 VwVfG applies in addition.
- (2) ¹The person concerned must be the opportunity to comment before the withdrawal. ²The Presidential Board shall decide on the withdrawal after hearing the responsible faculty, which in turn must give the responsible withdrawal committee the opportunity to comment. ³The withdrawal must be justified and accompanied by information on legal remedies.

Section 22

Revocation of teaching authorization

- (1) The authorization to teach can also be revoked, except in the cases of section 49 VwVfG, if:
 - a) the holder has seriously violated the dignity conferred by the award, in particular by committing a criminal offence, or has seriously abused the claim to academic excellence associated with the university degree. A criminal offence may only be taken into account if it has led to a final conviction and a prison sentence of at least one year for an intentional act;
 - b) a habilitated person who is also a civil servant has been legally removed from the service as such in disciplinary proceedings;
 - c) a habilitation candidate refuses, for reasons for which he/she is responsible, the request of the faculty responsible for teaching to fulfil his/her teaching obligations (title teaching) in accordance with section 18.
- (2) If, in the cases of paragraph 1 letters a) and b), the proceedings or criminal proceedings have not yet been legally concluded, the Presidential Board may, after hearing the responsible faculty, provisionally prohibit the person concerned from exercising the teaching authorization for the duration of the proceedings.
- (3) ¹The person concerned must be given the opportunity to comment before the revocation. ²The Presidential Board shall decide on the revocation after hearing the responsible faculty, which in turn must give the responsible revocation committee the opportunity to comment. ³The revocation must be justified and accompanied by information on legal remedies.

IV. Division:

Adjunct professors

Section 23

Awarding the title "Professor" to associate professors

- (1) ¹The Presidential Board may, upon a justified application by the faculty and after obtaining the opinion of the Senate, confer the academic title of "Professor" for the duration of the performance of teaching duties if the requirements under paragraph 2 are met (adjunct professorship). ²The university shall issue a certificate for the conferral of the title in accordance with **Annex 6**. ³Rights and obligations arising from any existing employment relationship with the University of Oldenburg shall not be affected. ⁴The conferral of the title does not the appointment of the associate professor to independently represent their subject in research and teaching.

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- (2) The title may be awarded to persons who the following requirements:
1. Recruitment requirements for professors according to section 25 NHG,
 2. Proof of three years of successful independent teaching activity in accordance with paragraph 3, of which at least two years must have been spent at the University of Oldenburg,
 3. three years of proven successful research achievements in accordance with paragraph 4.
- (3) ¹The teaching activity pursuant to paragraph 2 must have been performed independently over a period of three years. ²The decisive date for this is the completion of the habilitation or the date on the habilitation-equivalent achievements can be determined. ³It must include typical courses for the subject in the Bachelor's and Master's degree programs or, if still available, in the undergraduate and postgraduate degree programs. ⁴The minimum scope for the three-year period in accordance with paragraph 2 is 16 LVS. ⁵The teaching must be continuous. ⁶Teaching activities can generally be provided through teaching assignments, unpaid teaching or the administration or representation of a professorship. ⁷It must be verified by the results of teaching evaluations or the statement of the Dean of Studies.
- (4) Successful research activity in accordance with paragraph 2 must be demonstrated by submitting relevant research reports or scientific publications, which will be assessed as part of an expert opinion.
- (5) ¹The responsible faculty council elects a committee, which is composed like an appointment committee and has the task of examining the qualifications in accordance with paragraphs 2 to 4. ²In exceptional cases and in agreement with the Presidential Board, the election of the committee may be carried out by circular resolution in accordance with the requirements for appointment committees. ³In exceptional cases and in agreement with the Presidium, meetings may be held purely electronically by means of a video and audio transmission procedure authorized by the Presidium. ⁴If the committee has come to the conclusion that the candidate's qualifications allow the procedure to be opened, it shall appoint two external assessors to assess the candidate's performance. ⁵Criteria of favorability, as they also apply in appointment procedures, must be taken into account. ⁶Once the reviews have been received and the deliberations have been concluded, the committee decides on the continuation of the procedure by a double majority, as in an appointment procedure. ⁷It forwards the proposal together with the application documents and the expert opinions to the Faculty Council for a decision.
- (6) The decision of the Faculty Council to submit an application in accordance with paragraph 1 requires a majority in accordance with Section 16 (3) sentences 2 and 3 NHG.
- (7) ¹The title "Professor" may be used as long as independent courses are regularly offered at the University of Oldenburg. ²Independent teaching must be offered to the extent of at least 1 LVS per semester or 2 LVS per academic year (title teaching). ³The course must be announced to the Dean's Office in good time and held in an orderly manner. ⁴The course must be documented by the faculty. ⁵If the teaching activity is to be interrupted, the Dean's Office must be informed of this in good time before the start of the respective lecture period. ⁶If the adjunct professor no longer wishes teach, he/she must inform the Dean's Office and return the certificate. ⁷This does not apply if the age limit for retirement applicable to professors has been reached at the time the teaching activity is discontinued.
- (8) ¹The right to use the title "Professor" expires if the teaching qualification or teaching authorization lapses, is revoked or withdrawn. ²The certificate shall be withdrawn by the Presidential Board after the Faculty Council concerned has given its opinion. ³In cases of revocation and withdrawal, the person concerned must be heard before the certificate is withdrawn.
- (9) ¹The right to use the title "Professor" may also be revoked by the Presidential Board after consultation with the faculty concerned and the person concerned if
1. the adjunct professor has not taught independently in his or her subject area at the University of Oldenburg for two years and has not inform in writing within this period that he or she will resume teaching within six months will be resumed,

2. it has been established in a formal investigation by the central investigation committee that the associate professor has engaged in academic misconduct within the meaning of the "Regulations on the Principles for Safeguarding Good Scientific Practice at the Carl von Ossietzky University of Oldenburg" or the "Guidelines for Safeguarding Good Scientific Practice" of the German Research Foundation (DFG) and this misconduct affects their teaching or research activities after the award of the title.

²The right to use the title also expires if the associate professor is as a professor at another academic university. ³The certificate shall be revoked by the Presidential Board.

- (10) ¹If junior professors are not re-employed as professors after termination of their employment relationship and if the requirements of Section 30 para. 4 sentence 1 NHG for an extension of the employment relationship were after expiry of the period of employment in accordance with Section 30 para. 4 sentence 2 NHG, they are entitled to use the title "professor" as associate professors as long as they perform teaching duties in accordance with paragraph 7. ²The faculty document the performance of the teaching events. ³The authorization to use the title expires if teaching duties have not been performed for more than one semester and the authorized person is responsible for this. Section 18 (4) applies accordingly.

V. Division: Final provisions

Section 24 Procedural rules

- (1) ¹Rejecting decisions and other onerous administrative acts in accordance with these habilitation regulations must be justified, accompanied by information on legal remedies and notified to the person concerned. ²An appeal may be lodged against a decision based on the assessment of the habilitation achievement within one month of notification of the .
- (2) The Faculty Council shall decide on the notice of objection in accordance with the procedure set out in paragraph 3 if the objection is not upheld.
- (3) ¹If the objection is directed against an assessment, the Faculty Council shall forward the objection to the Habilitation Commission for review. ²If the Habilitation Commission amends the assessment as requested, the Faculty Council shall resolve the objection. ³Otherwise, it shall review the decision on the basis of the opinion of the habilitation committee, in particular as to whether
 - a) the audit procedure has been conducted properly,
 - b) generally applicable valuation principles been observed,
 - c) the assessment was consistently based on accurate factual assertions,
 - d) the habilitation committee has not been guided by irrelevant considerations. If there are concrete and substantiated objections to examination-specific evaluations and subject-specific assessments, examination results will be re-evaluated taking into account the opinion of the Faculty Council or the examination will be repeated. The reassessment must not lead to a worsening of the examination grade.

Section 25 Faculty-specific provisions

Faculty-specific deviations from or additions to these regulations are listed in **Annex 1**.

Section 26

Entry into force

¹This new version comes into after its adoption by the Senate and approval by the Presidential Board on the day after its publication in the Official Notices of the Carl von Ossietzky University of Oldenburg. ²At the same time, the previous "Regulations on habilitations and the awarding of the title "Associate Professor" of 27 August 2019 (AM 078/2019) in the version of 21 July 2020 (AM 048/2020) shall cease to be in force.

Annex 1**Faculty-specific regulations Faculty VI - Medicine and Health Sciences**

To section 3 (1) no. 3:

¹Deviating applies:

²The applicant must provide evidence of at least four semesters of continuous relevant teaching activity for students of Faculty V - Mathematics and Natural Sciences and/or Faculty VI - Medicine and Health Sciences in the scope of the *venia legendi* applied for, totaling 4 LVS (1 LVS/semester), which should generally not date back more than 3 years. ³The teaching activity must have been carried out at the University of Oldenburg at the time of application.

⁴Additionally applies:

⁵Creditable work includes, for example, lectures, seminars, tutorials, subject-related study groups, colloquia and excursions. ⁶Instruction in scientific work (e.g. supervision of Bachelor's or Master's theses, research work, bedside teaching [bedside teaching: examination or bedside teaching: patient demonstration]) and practical courses may be credited, but may not cumulatively exceed a proportion of up to 2 LVS of the total teaching performance.

The following clauses 4 - 7 also apply to section 3 (1):

4. The application for admission to habilitation can only be submitted by members of Faculty VI - Medicine and Health Sciences with a doctorate who have an employment relationship, a teaching position or a scholarship agreement with the University or one of the clinics of University Medicine Oldenburg. Justified exceptions are possible, e.g. medical staff with a doctorate from the academic teaching hospitals of Faculty VI - Medicine and Health Sciences and affiliated institutes.
5. Proof of successful participation in a higher education or medical didactics training program at Faculty VI - Medicine and Health Sciences (at least 60 hours and 2 visits of courses with a minimum duration of 45 minutes each) or an equivalent certified program at another German or foreign university is required. The teaching formats possible in the teaching visitation include lectures and seminars; other teaching formats are possible by prior arrangement.
6. Doctors who are aiming for a habilitation for a specialty that is identical to a designation in the further education regulations should generally provide evidence of having acquired the area, sub-area or additional designation; this does not apply to preclinical subjects.
7. proof of publication
 - a) as a rule, at least ten original papers in scientific journals with a peer review system that are listed in Medline or in the Journal Citation Report. The author's own contribution to the publications must be stated. Publications in non-listed journals with a peer review system may be considered in justified cases. For candidates have successfully completed several doctoral procedures (e.g. also PhD procedures), work published in a cumulative paper as part of the first doctoral procedure cannot be taken into account, even if it was printed in a journal after the time of the proposal.
 - b) At least six of the original papers should first or last authored. A maximum of three publications in shared first/last authorship can be recognized. The share of the habilitation candidate must be explained.
 - c) At least 70% of the publications and original work relevant to the habilitation should be published in English.

- d) A meta-analysis or a systematic review can be categorized as an original work if the quality criteria are met (journals with a peer review system that are listed in Medline or in the Journal Citation Report).
- e) The original work should be available in published form or have been accepted for publication (proof).
- f) The habilitation committee decides on the categorization and assessment of the theses.

To section 4 (2) no. 3:

The list of scientific papers organized as follows:

1. Original papers in journals
2. Reviews, Case Reports, Letters
3. Books, book contributions
4. citable abstracts
5. Lectures at scientific congresses
6. Lectures with training character

To section 5 (2):

The habilitation thesis should illustrate the outstanding achievement on a maximum of 120 pages, including an abstract, bibliography, curriculum vitae and acknowledgements.

To section 5 (3):

The following requirements continue to apply to the cumulative habilitation thesis:

- Instead of an independent habilitation thesis, at least seven original scientific papers on the topic of the habilitation with a scientific level appropriate for a habilitation may also be submitted.
- These are published or accepted for publication in first or last authorship in journals with a peer review system that are listed in Medline or in the Journal Citation Report (proof). Publications in non-listed journals with a peer review system may be considered in justified cases.
- Publications that have already been used as part of a doctoral procedure may not be included.
- At least 70% of the publications in the cumulative publication should be in English.
- The results of these publications must be discussed in a summary of at least 20 to a maximum of 40 pages.

To section 5 (4):

By way of derogation, the academic presentation open to the university should be 30 minutes long and the colloquium at least 15 minutes long, but should not exceed 30 minutes.

To section 5 (5):

¹At Faculty VI - Medicine and Health Sciences, the applicant is also admitted to the colloquium/lecture when the habilitation procedure is opened or admission to the habilitation procedure is granted. ²The acceptance of the habilitation thesis does not have to be awaited.

To section 6: a new paragraph 4 is inserted:

¹In accordance with section 2 (1) sentence 2 of the habilitation regulations, Faculty VI - Medicine and Health Sciences shall establish a permanent habilitation committee. ²If, in exceptional cases, a subject-related habilitation committee responsible for an individual habilitation procedure is to be appointed, the Faculty Council shall also decide on its appointment. ³The following regulations apply in particular to the permanent habilitation committee:

1. The members are by the Faculty Council for a term of office of two years.
2. The permanent habilitation committee consists of five professorial or habilitated members; five deputies are also elected. The members and deputies on the habilitation committee should reflect the faculty's range of specializations.
3. The commission elects a chairperson and a deputy from among its members.
4. The Commission shall meet at least six times a year. Section 6 (2) applies accordingly.
5. A member of the Dean's Office may attend the meetings of the permanent habilitation regulations as a guest.
6. In addition, the general regulations on the habilitation committee apply (sections 6, 7 etc.).

The following sentence 4 also applies to section 7 (1):

¹A specialized opinion may be obtained before proceedings are initiated. ²This statement should, as far as possible, come from a member of the University of Oldenburg or one of the teaching hospitals of the University of Oldenburg.

To section 9 (1) sentence 1:

Access is also granted to habilitated members of Faculty VI - Medicine and Health Sciences.

The following sentences 2 and 3 also apply to section 14 (2):

¹After completion of the habilitation procedure the habilitation candidate usually gives a public lecture (inaugural lecture). ²The habilitation certificate or a decorative certificate can also be presented during this event.

The following paragraph 4 also applies to section 22:

- (4) ¹Faculty VI - Medicine and Health Sciences can make use of the revocation of the teaching authorization if there are sufficient facts that teaching at the University of Oldenburg is no longer likely and, in addition, the University of Oldenburg has sufficient teaching capacity. ²Sufficient facts exist in particular if the Privatdozent has not involved in teaching his/her subject that comprises the *venia legendi* for more than four semesters. ³In order to maintain the title, the Privatdozent is obliged to submit a list of his/her teaching achievements to the Dean of Studies of the faculty by 1st October of each without being asked by means of a Stud.IP excerpt with original signature or a teaching assignment.

To section 23 (2):

For the award of the title "Professor" at Faculty VI - Medicine and Health Sciences, the following additional requirements must be met:

1. The award can be made at the earliest 3 years after the habilitation (exception primary appointment to a W2 professorship, placement on an appointment list for a W3 professorship at a university).
2. The teaching activity at the University of Oldenburg is equivalent to a proven teaching activity at one of the cooperating Oldenburg hospitals or at an academic teaching hospital of the University of Oldenburg. _

To section 23 (3) sentence 3:

By way of derogation, the minimum scope for clinical staff is 10 LVS for the three-year period in accordance with Section 23 (2).

To section 23 (4):

Research work should be completed:

1. At least six publications as first or last author, four of them journals listed in Medline or in the Journal Citation Report (JCR). If the habilitation was more than six years ago, a continuous publication record must be demonstrated. Publications in non-listed journals with a peer review system may be considered in justified exceptional cases. Publications that have already been used for the habilitation are not taken into account.
2. Successful supervision of doctorates, Bachelor's, Master's, Diplom or Magister theses or work within the framework of the Longitudinal Research Curriculum (year 5) - at least three supervised doctorates, either completed or with a supervision agreement ongoing after the habilitation.
3. Proof of third-party funding is required. Activities as a scientific reviewer are expected.

To section 23 (5):

The reviewers to be appointed must not have been involved in the doctoral or habilitation procedure.

Annex 2

Logo

The Carl von Ossietzky University of Oldenburg provides the following through the faculty

.....

the outstanding ability of Mrs*Mr¹

..... born at in
for independent research and qualified independent teaching in the subject area
..... with the focus on "
....." after the
Habilitation thesis and the other habilitation achievements the habilitation procedure on
..... was successfully completed.

She*he¹ is authorized to teach independently in this subject area at the Carl von Ossietzky University of Oldenburg and to add the suffix "habil." to ^{her*his}¹ doctoral degree, referring to the habilitation.

Oldenburg,

Seal

President

Dean

¹Insert as appropriate

Annex 3

Logo

The Carl von Ossietzky University of Oldenburg provides the following through the
faculty

.....
the outstanding ability of Mrs*Mr¹

..... born on in
.....
for independent scientific research and qualified independent teaching in the subject area
with the centre of gravity "
after on the basis of the habilitation thesis²
and the other habilitation achievements² have successfully completed the rehabilitation procedure at
.....

She*he¹ is authorized to teach independently in this subject area at the Carl von Ossietzky University of
Oldenburg and is entitled to add the suffix "habil." to her*his¹ doctoral degree to habilitation.

Oldenburg,

Seal

President

Dean

¹Insert as appropriate

²Insert as appropriate, delete as appropriate

Annex 4

Logo

The Carl von Ossietzky University of Oldenburg awards

Mrs*Mr¹

.....

by the faculty

.....

the title

Privatdozentin*Privatdozent¹.

Mrs*Mr¹.....is entitled to use the title as long as she*he¹ regularly teaches
The Carl von Ossietzky University of Oldenburg offers a range of events in accordance with the current
habilitation regulations.

Oldenburg, the

Seal

President

Dean

¹Insert as appropriate

Annex 5

Logo

The Carl von Ossietzky University of Oldenburg awards

Mrs*Mr¹

.....

the extension of the teaching

authorization

onField/Subject¹,

on the basis of special scientific achievements in this subject/field.

Oldenburg,

Seal

President

Dean

¹Insert as appropriate

Annex 6

Mrs*Mr¹

.....

is authorized to use the title "Professor" as an associate professor.

Mrs*Mr¹ is authorized to use the title for as long as she*he¹ regularly offers courses at the Carl von Ossietzky University of Oldenburg in accordance with the respective habilitation regulations.

Oldenburg,

Seal

Carl von Ossietzky University of Oldenburg The Presidential Board

President

¹Insert as appropriate